

# Stable Marriage in Euclidean Space

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## ABSTRACT

We study stable marriage problems in the  $d$ -Euclidean space. Under this setting, each agent is represented as a point in the  $d$ -dimensional space, and for each agent  $a$ , the preference of  $a$  is based on the sorting according to the Euclidean distances between  $a$  and agents from the opposite gender. Let  $\delta(a, b)$  being the Euclidean distance between two points  $a$  and  $b$ . A man  $u$  prefers a woman  $w_1$  to another woman  $w_2$  if and only if  $\delta(u, w_1) < \delta(u, w_2)$ . If  $\delta(u, w_1) = \delta(u, w_2)$ , then  $u$  ranks  $w_1$  and  $w_2$  indifferently, and we say there is a tie between  $w_1$  and  $w_2$  in  $u$ 's preference list. A lot of variants of STABLE MARRIAGE WITH TIES (SMT) have been shown to be NP-complete when ties occur in preference lists. In this paper, we study the most famous hard variants of SMT in  $d$ -Euclidean space, namely, REGRET-SMT, FORCED-SMT, and EGALITARIAN-SMT. We prove that with  $d = 1$ , FORCED-SMT and REGRET-SMT can be solved in polynomial-time, while with  $d = 2$ , all of the three problems are NP-hard. Then we show that if the preference list can be incomplete (agents are allowed to not give a full rank of the opposite gender), the three problems and another variant MAX-SMTI are NP-hard even with  $d = 1$ . Finally, we provide an algorithm to recognize whether a given preference profile can be embedded into 1-Euclidean space.

## KEYWORDS

Social Choice; Stable Matching; Computational Complexity.

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## 1 INTRODUCTION

Matching problems have received a considerable amount of attention from both economics and computer science communities and have been studied for several decades, due to their rich applications in the real world, for instance, assignment of students to colleges [1], kidney patients to donors [29], refugees to host countries [5].

One of the most prominent matching models is the STABLE MARRIAGE problem, which was introduced by Gale and Shapley [19]. Given two disjoint sets  $U$  and  $W$  with each  $u \in U$  providing a strictly ordered list  $\succ_u$  of the members of  $W$  and vice versa, the STABLE MARRIAGE (SM) problem seeks for a matching  $M$  without *blocking pair*. Herein, a blocking pair is a pair of  $u \in U$  and  $w \in W$  such that  $u$  and  $w$  are not matched by  $M$  but  $u$  prefers  $w$  to  $M(u)$  in  $\succ_u$  and  $w$  prefers  $u$  to  $M(w)$  in  $\succ_w$ . Conventionally, the members

of  $U$  are called men and the member of  $W$  are called women. We refer to both men and women as agents. The most classic SM problem requires that each agent provides a fully, strictly ordered list of the members of the opposite gender as his preference. According to the criticism that full, strict preference orders rarely suits real-world applications [7], a lot of variants with less restrictive preference structures have been proposed, such as incomplete and ties preferences [22, 23, 28], general preferences [17], pairwise preferences [2, 4, 27], and multi-modal preferences [10, 34], etc.

We study a “geometric” variant of the STABLE MARRIAGE problem, called  $d$ -EUCLIDEAN STABLE MARRIAGE ( $d$ -EUCLID-SM). In this variant, each  $a \in U \cup W$  is represented by a point in the  $d$ -dimensional Euclidean space, and the preference list of  $a$  is based on the ranking of the Euclidean distances between  $a$  and agents from the opposite gender. More precisely, given two agents  $b_i, b_j$  from the opposite gender,  $a$  prefers  $b_i$  to  $b_j$  if and only if  $\delta(a, b_i) < \delta(a, b_j)$  with  $\delta(a, b)$  being the Euclidean distances between two points  $a$  and  $b$ .

The introduction of  $d$ -EUCLID-SM is mainly motivated by the following application scenario. Consider a dating agency, which characterize each man and woman by a vector of length  $d$ . Each entry of the vector corresponds to one of  $d$  questions, whose answer range from “strongly agree” to “strongly disagree”, which could be encoded as integers. The questions should measure the attitude of the men and women towards various issues like pets, children, hobbies, etc. Then, the preference of each man (or woman) is computed based on the Euclidean distance between the vector of this man (or woman) and the vectors of all women (or men). Computing a stable matching using these preferences is essentially the  $d$ -EUCLID-SM problem. In addition, there are many real-world problems that can be modeled as  $d$ -Euclid-SM, especially when the agents’ ranking criteria for opposite gender is defined by distance, e.g., assigning employees to factories.  $d$ -Euclidean space can be seen as a domain restriction of the preference lists, which guarantees many nice properties. Thus, it is interesting to check whether a hard variant of STABLE MARRIAGE problem remains NP-hard in  $d$ -Euclidean space.

A lot of variants of STABLE MARRIAGE have been introduced, which seek for a stable matching satisfying some constraints. Some ask for a stable matching satisfying a score bound, such as Egalitarian [24], Regret [21], Balanced [18], and Sex-equal [25], etc. Some variants focus on finding a matching with restricted edges, such as Forced [13–15], Forbidden [13–15], and Distinguished [31]. Let  $\pi$  denote a constraint. We say a stable matching  $M$  is  $\pi$ -stable if  $M$  satisfies  $\pi$ . With  $\pi$  being Egalitarian/Regret/Forced, it is NP-hard to find a  $\pi$ -stable matching [28] when ties occur in preference lists.

In this paper, we study the computational complexity of hard variants of STABLE MARRIAGE in  $d$ -Euclidean space. More precisely, we study  $d$ -EUCLIDEAN  $\pi$ -STABLE MARRIAGE WITH TIES ( $d$ -EUCLID- $\pi$ -SMT) with  $\pi$  being a constraint. Note that, an Euclidean instance of STABLE MARRIAGE problem without ties always admits a unique

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**Table 1: Overview of our results. “-” stands for that it is meaningless to study Max-SMT under this setting, since there always exists a perfect stable matching when preference lists are complete.**

	$d=2$		$d=1$	
	complete	NP-hard	complete	incomplete
Regret	NP-hard	P	NP-hard	
Egalitarian	NP-hard	?	NP-hard	
Forced	NP-hard	P	NP-hard	
Max	-	-	NP-hard	

matching and can be found in  $O(n^2)$  by matching, removing the current closest man-woman pair iteratively. In fact, this trivial algorithm still holds for the more general setting, that is,  $d$ -EUCLIDEAN STABLE ROOMMATES [3]. REGRET-SMT, EGALITARIAN-SMT, FORCED-SMT and MAX-SMTI are proved to be NP-hard by Manlove et al. [28]. Here, SMTI is the abbreviation of STABLE MARRIAGE WITH TIES AND INCOMPLETE PREFERENCES. Refer to Preliminaries for the definitions of Regret, Egalitarian, Forced, and Max. We study these four problems in the  $d$ -Euclidean space. We find that when all preference lists are complete, REGRET-SMT, EGALITARIAN-SMT, and FORCED-SMT are NP-hard even with  $d = 2$ , while REGRET-SMT and FORCED-SMT admit polynomial-time algorithms when  $d = 1$ . When preference lists are permitted to be incomplete, the four problems are NP-hard even with  $d = 1$ . Refer to Table 1 for an overview of our results. Due to lack of space, the proofs of the lemmas and theorems marked with (\*) are moved to Appendix.

**Related Work.** Arkin et al. investigated  $d$ -EUCLIDEAN STABLE ROOMMATES, under the name GEOMETRIC STABLE ROOMMATES, and proved that when the preference lists are complete and without ties, the problem can be solved in polynomial time [3]. Chen and Roy studied MULTI-DIMENSIONAL STABLE ROOMMATES IN 2-DIMENSIONAL EUCLIDEAN SPACE, they proved that  $k$ -STABLE ROOMMATES is NP-hard even in 2-Euclidean space and  $k = 3$  [12]. In voting system,  $d$ -Euclidean space is studied as a kind of domain restriction [8, 9, 11, 16, 26, 33]. However, the definition is a little bit different, that is, only voters have preference lists based on Euclidean distance, the candidates do not need to rank the voters.

## 2 PRELIMINARIES

Let  $U = \{u_1, \dots, u_n\}$  and  $W = \{w_1, \dots, w_n\}$  be two  $n$ -elements disjoint sets of agents. We call the members in  $U$  *men*, and the members in  $W$  *women*. The *preference list* of  $u \in U$  is an ordered subset that ranks a subset of the members in  $W$ , denoted as  $\succ_u$ . If the length of  $\succ_u$  is less than  $n$ , we say  $\succ_u$  is *incomplete*. If there are two women  $w_i$  and  $w_j$ , who are considered equally good as partner of  $u$ , we say  $\succ_u$  contains a *tie* and use  $w_i \sim w_j$  to denote the relation of  $w_i$  and  $w_j$  in  $\succ_u$ . The preference list  $\succ_w$  of  $w \in W$  is defined analogously. A *matching*  $M \subseteq \{(u, w) | u \in U \wedge w \in W\}$  is a set of pairwise disjoint pairs.  $M$  is *stable* if  $M$  does not contain *blocking pairs*; a blocking pair is a pair  $\{u, w\} \notin M$  such that  $u$  prefers  $w$  to  $M(u)$  and  $w$  prefers  $u$  to  $M(w)$ . We say  $M$  is a *perfect matching* if  $|M| = n$ . If  $(u, w) \in M$ , we say that  $w$  is the *partner* of  $u$  matched by  $M$ , denoted as  $M(u)$ , and vice versa. If  $u$  has no partner, we define  $M(u) = \emptyset$ . Given an agent  $a$ ,  $P_a(b)$  stands for the position of  $b$  in

$\succ_a$ , and  $\delta(a, b)$  stands for the Euclidean distance between  $a$  and  $b$ , where  $b$  is an agent from the opposite gender. A *preference profile*  $L$  is the set of all preference lists. We say  $L$  contains ties if at least one preference list in  $L$  contains ties and  $L$  is incomplete if at least one preference list in  $L$  is incomplete.

**Preference Lists in Euclidean Space.** Each agent  $a \in U \cup W$  is represented by a point in the  $d$ -dimensional Euclidean space, and the preference list of  $a$  is based on the ranking of the Euclidean distances between  $a$  and agents from the opposite gender. Let  $\delta(a, b)$  be the Euclidean distance between two points  $a$  and  $b$ . If  $\succ_a$  is incomplete, the agents in  $\succ_a$  can be inconsistent with the agents of the opposite gender, that is, an agent  $b$  might not be a member of  $\succ_a$  even if  $\delta(b, a) < \delta(c, a)$  and  $c$  is in  $\succ_a$ .

**Constraints and Problems.** There are four constraints studied in this paper, namely, Regret, Egalitarian, Forced, and Max. We use Reg and Egal to denote Regret and Egalitarian. Each stable marriage problem with a constraint  $\pi$  has an additional input  $\pi_i$ , and an additional requirement  $\pi_r$  for the solution matching  $M$ . We define them in Table 2.

**Table 2: The four constraints studied.**

$\pi$	$\pi_i$	$\pi_r$
Reg	an integer $t$	$\max_{a \in U \cup W} P_a(M(a)) \leq t$
Egal	an integer $t$	$\sum_{a \in U \cup W} P_a(M(a)) \leq t$
Forced	a forced pair set $F$	$F \subseteq M$
Max	an integer $t$	$ M  \geq t$

Now, we formally define the problem we study in this paper. Let  $\pi \in \{\text{Reg, Forced, Egal, Max}\}$ .

### $d$ -EUCLIDEAN $\pi$ -STABLE MARRIAGE WITH TIES ( $d$ -EUCLID- $\pi$ -SMT)

**Input:** Two sets of agents  $U$  and  $W$  with  $|U| = |W| = n$ , an embedding  $U \cup W \rightarrow \mathbb{R}^d$  of the agents into  $d$ -dimensional Euclidean space, and  $\pi_i$ .

**Question:** Is there a matching  $M$  satisfying  $\pi_r$ ?

## 3 NP-HARDNESS IN 2-EUCLIDEAN SPACE

In this section, we prove 2-Euclid- $\pi$ -SMT is NP-hard by providing a polynomial-time reduction from PLANAR AND CUBIC EXACT COVER BY 3 SETS problem [32], which is an NP-complete special case of the EXACT COVER BY 3 SETS problem [20].

### PLANAR AND CUBIC EXACT COVER BY 3 SETS (PC-X3C)

**Input:** A  $3n$ -element set  $X = \{x_1, \dots, x_{3n}\}$  and a collection  $S = \{S_1, \dots, S_m\}$  with each  $S_j \in S$  being a 3-elements subset of  $X$  and each element occurring in exactly three sets, and the associated graph is planar.

**Output:** a subcollection  $\mathcal{K} \subseteq S$  such that each  $x_i \in X$  occurs in exactly one member of  $\mathcal{K}$ .

Here, we say a graph  $G = (V, E)$  is an associated graph of a PC-X3C instance  $I = (X, S)$ , if (1)  $V = V_X \cup V_S$  with  $V_X = \{v_i | x_i \in X\}$  and  $V_S = \{v_j | S_j \in S\}$ , and (2)  $E = \{e_{ij} | x_i \in S_j, x_i \in X, S_j \in S\}$ . Then,  $G$  is a planar graph with the vertex degree being three. Thus, based on the work of Battista et al. [6],  $G$  can be embedded in the grid  $\mathbb{Z}^2$  in polynomial time, such that its vertices are at the integer grid

points and its edges are drawn using at most one horizontal and one vertical segment in the grid.

**THEOREM 3.1.** *For every  $d \geq 2$ ,  $d$ -Euclid- $\pi$ -SMT is NP-hard. Here,  $\pi \in \{\text{Reg, Egal, Forced}\}$  and all preference lists are complete.*

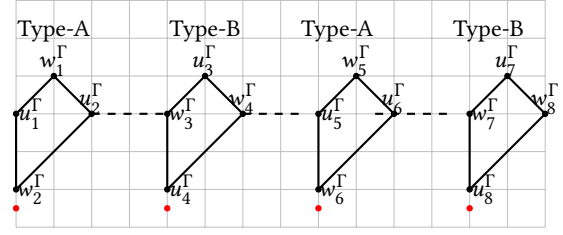
Due to lack of space, we only prove the NP-hardness of 2-Euclid-Reg-SMT with  $t = 4$ . 2-Euclid- $\pi$ -SMT with  $\pi \in \{\text{Egal, Forced}\}$  can be proved in a similar way by slightly modifying the auxiliary agents.

### 3.1 The Construction

**Main idea.** Given an instance  $I = (\mathcal{X}, \mathcal{S})$  of PC-X3C, we first embed the associated graph  $G(I)$  into a 2-dimensional grid with edges drawn using line segments of length at least  $L > 1000$ , and the distance between two parallel line segments is at least  $L$ . In the construction process, we used 6 kinds of gadgets, each of which is composed of agents. For each set  $S_j \in \mathcal{S}$ , we create a set gadget, consisting of four *reception gadgets*, and guarantee that the agents in the gadget can only be matched in two ways, one standing for  $S_j$  in the solution, and the other for not. For each element  $x_i \in \mathcal{X}$ , we create a gadget, called *selection gadget*, whose agents can only be matched in three ways, denoted as  $M_{x_i}^{S_a}, M_{x_i}^{S_b}, M_{x_i}^{S_c}$  with  $S_a, S_b, S_c$  being three sets containing  $x_i$ , each of which encodes how  $x_i$  is covered by the PC-X3C solution. We use *chain gadgets* to “link” element gadgets and set gadgets, that is, to coordinate the matching decisions of an element/set gadget and a set gadget, whose corresponding element  $x_i$  and set  $S_j$  satisfy  $x_i \in S_j$ . Thus, the element and set gadgets are placed in the positions of their corresponding elements and sets in the 2-dimensional plane, while the chain gadgets are placed along the edges of  $G(I)$ . To fulfill their purpose, chain gadgets need to be able to deal with the following three tasks: (1) the matching decision of an element/set gadget need to be broadcasted to its corresponding set/element gadgets; (2) a chain gadget need to be able to turn 90 degrees; (3) chain gadgets can cross each other. For each of the tasks, we create a gadget, which can be considered as a component of chain gadget, called “broadcast gadget”, “turn gadget”, and “crossover gadget”.

**Chain gadget.** Each chain gadget consists of the so-called “basic components”, each of which contains two pairs of agents. There are two types of basic components, which alternatively occur in a chain gadget. See Figure 1 for an illustration. Given a chain gadget  $\Gamma$ , let  $|\Gamma|$  be the number of basic components of  $\Gamma$ , and  $\Gamma[i]$  be the  $i$ -th component of  $\Gamma$  with  $1 \leq i \leq |\Gamma|$ . For a Type-A component, we have  $\delta(u_{2i-1}^\Gamma, w_{2i}^\Gamma) = 2 + \epsilon$ , and for a Type-B component, we have  $\delta(w_{2i-1}^\Gamma, u_{2i}^\Gamma) = 2 + \epsilon$ , where  $\epsilon = \frac{1}{10000}$ . Given two components  $\Gamma[i]$  and  $\Gamma[i+1]$  of chain gadget  $\Gamma$ , define  $\delta(\Gamma[i], \Gamma[i+1]) = \delta(u_{2i}^\Gamma, w_{2i+1}^\Gamma)$  if  $\Gamma[i]$  is Type-A, and  $\delta(\Gamma[i], \Gamma[i+1]) = \delta(w_{2i}^\Gamma, u_{2i+1}^\Gamma)$  if  $\Gamma[i]$  is Type-B. For each  $1 \leq i \leq |\Gamma| - 1$ , we set  $\sqrt{2} < \delta(\Gamma[i], \Gamma[i+1]) \leq 2$ . In one chain gadget, we always let  $\Gamma[i]$  and  $\Gamma[i+1]$  be on a straight line, except for turn gadget. A chain gadget must be set between two agents or gadgets. We say “a chain from  $P$  to  $Q$ ” if  $\Gamma[1]$  is around the position of  $P$  and  $\Gamma[|\Gamma|]$  is around the position of  $Q$  with  $P$  and  $Q$  being agents or gadgets, denoted as  $\Gamma(P, Q)$ . In the following, we will use “chain” for “chain gadget”.

**Selection gadget.** For each agent  $x_i \in \mathcal{X}$  with  $x_i \in S_a, S_b, S_c$ , we create one selection gadget. A selection gadget, denoted as  $\Delta^{x_i}$ , consists



**Figure 1:** A chain gadgets  $\Gamma$  with  $|\Gamma| = 4$ . There are  $\hat{d}$  pairs of auxiliary agents in each red point, where  $\hat{d}$  is an integer that is set equal to the regret score bound  $t$ . Note that the basic component only has 4 agents, the lines between the agents are just to highlight that the agents are from the same component.

of three men  $u_{S_a}^{x_i}, u_{S_b}^{x_i}, u_{S_c}^{x_i}$ , and three women  $w_1^{x_i}, w_2^{x_i}, w_3^{x_i}$ . We put  $w_1^{x_i}$  and  $w_2^{x_i}$  at the same position. The distance between  $w_1^{x_i}$  (or  $w_2^{x_i}$ ) and  $u_{S_a}^{x_i}$  (or  $u_{S_b}^{x_i}, u_{S_c}^{x_i}$ ) equals 1, which is less than the distance between  $w_3^{x_i}$  and the three men, which is at least  $\sqrt{5}$ . See Figure 2a for an illustration. There are three chains around  $\Delta^{x_i}$ . The distances between  $u_{S_a}^{x_i}, u_{S_b}^{x_i}, u_{S_c}^{x_i}$  and their respective closest chains are equal to 2.

**Reception gadget.** For each  $S_j \in \mathcal{S}$ , we create four reception gadgets to form an “ $S_j$ -square” with one for each side, denoted as  $\Lambda_0^{S_j}$  and  $\Lambda_{x_i}^{S_j}$  with  $x_i \in S_j$ . Each reception gadget has 7 pairs of agents. See Figure 2b for an illustration. Given a reception gadget  $\Lambda_{x_i}^{S_j}$  with  $S_j \in \mathcal{S}$  and  $x_i \in S_j$ , there are three chains that link  $\Lambda_{x_i}^{S_j}$  to the selection gadget of  $x_i$ . The distances between the chains and their respective closest agents from  $\Lambda_{x_i}^{S_j}$  are equal to 2, as illustrated in Figure 2b. We use “YES-chain” to denote the chain in the middle, and use “NO-chains” to denote the other two chains.

**Crossover gadget.** A crossover gadget does not introduce new agents and consists of two basic components of each of two chains which cross each other. Given two chains  $\Gamma_1$  and  $\Gamma_2$  that cross with each other, we let  $\Gamma_1[i], \Gamma_1[i+1], \Gamma_2[j], \Gamma_2[j+1]$  be the four components forming the crossover gadget. Here, we need to reset the positions of agents in  $\Gamma_1[i+1]$  and  $\Gamma_2[j+1]$ , that is, exchange the positions of  $w_{2(i+1)}^{\Gamma_1}$  and  $w_{2(i+1)-1}^{\Gamma_1}$  if  $\Gamma_1[i+1]$  is Type-A, or exchange the positions of  $u_{2(i+1)}^{\Gamma_1}$  and  $u_{2(i+1)-1}^{\Gamma_1}$  if  $\Gamma_1[i+1]$  is Type-B. The positions of agents of  $\Gamma_2[j+1]$  can be reset in a similar way. See Figure 2c for an illustration.

**Turn gadget.** See Figure 2d for an illustration.

**Broadcast gadget.** Broadcast gadget consists of 9 pairs of agents, which form a “square”. Each side of the “square” is linked by a chain to either selection gadgets or reception gadgets. The distances between the chains and their respective closest agents in the broadcast gadgets are all equal to 2. We use “IN-chain” to denote the chain that links broadcast gadget to selection gadgets, and “OUT-chain” to denote the chains to reception gadgets. A broadcast gadget has exactly one IN-chain and three OUT-chains. See Figure 2e for an illustration.

For each element  $x_i \in \mathcal{X}$ , we create one selection gadget and three broadcast gadgets, denoted as  $\Delta^{x_i}$  and  $B_{S_a}^{x_i}, B_{S_b}^{x_i}, B_{S_c}^{x_i}$  with  $x_i \in$

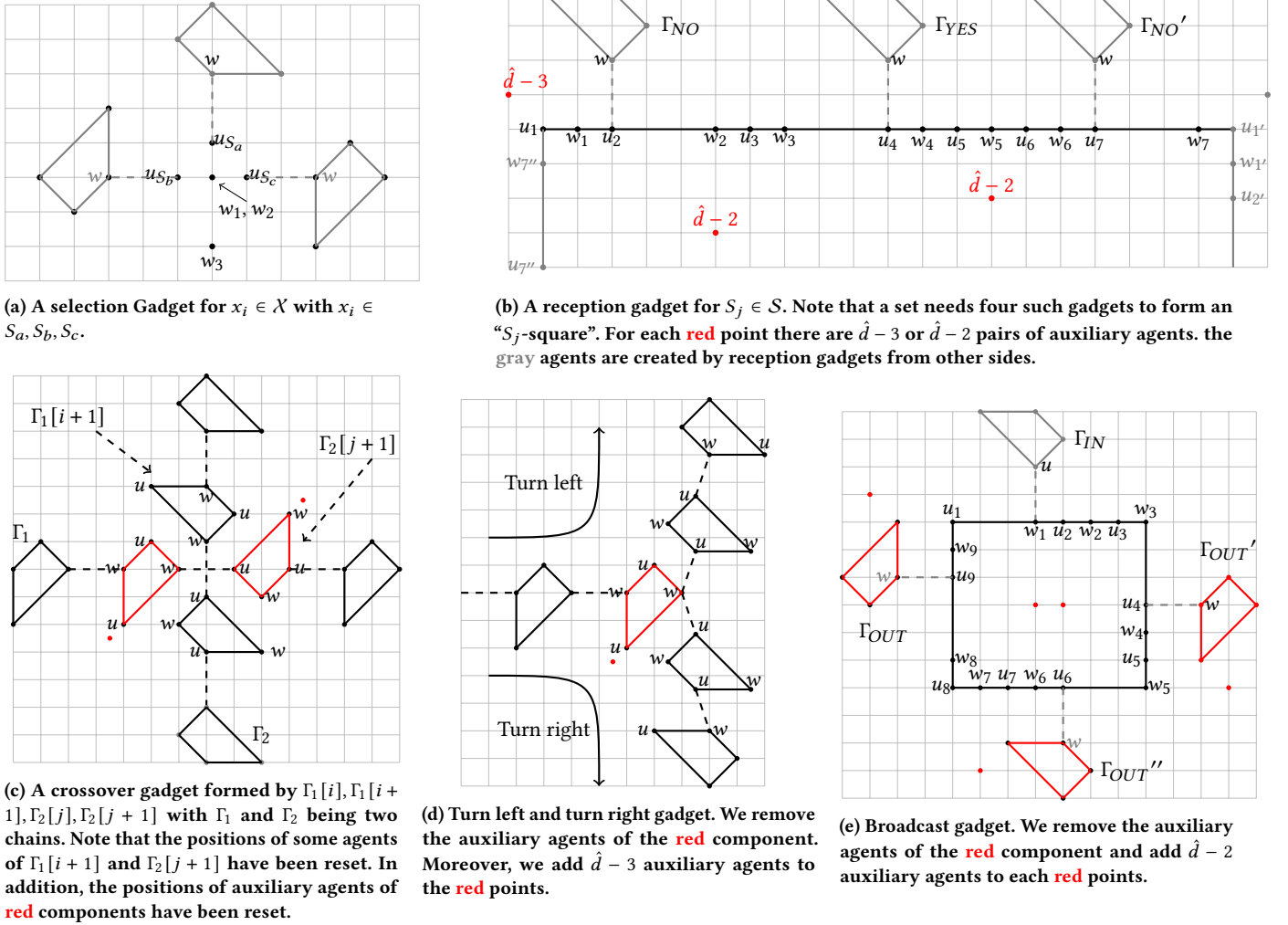


Figure 2: Five Gadgets used in the construction.  $\hat{d}$  is set equal to the regret score bound  $t$ . The auxiliary agents of chains are omitted if not stated explicitly. We also omit the superscripts of agents in all five figures to make the figures clearer.

$S_a, S_b, S_c$  and  $S_a, S_b, S_c \in S$ . For each broadcast gadget  $B_{S_k}^{x_i}$  with  $k \in \{a, b, c\}$ , we create a chain  $\Gamma(u_{S_k}^{x_i}, B_{S_k}^{x_i})$  with  $u_{S_k}^{x_i}$  being the man created in  $\Delta^{x_i}$ , and let it be the IN-chain of  $B_{S_k}^{x_i}$ .

For each  $S_j \in S$ , we create four reception gadgets, denoted as  $\Lambda_{x_a}^{S_j}, \Lambda_{x_b}^{S_j}, \Lambda_{x_c}^{S_j}$  and  $\Lambda_0^{S_j}$  with  $S_j = \{x_a, x_b, x_c\}$ .

For each pair of  $(B_{S_j}^{x_i}, \Lambda_{x_i}^{S_k})$  with  $x_i \in S_j, S_k$ , we create a chain  $\Gamma(B_{S_j}^{x_i}, \Lambda_{x_i}^{S_k})$ . The chain is an OUT-chain of  $B_{S_j}^{x_i}$ , and is a YES-chain of  $\Lambda_{x_i}^{S_k}$  if  $S_j = S_k$  (or a NO-chain of  $\Lambda_{x_i}^{S_k}$  if  $S_j \neq S_k$ ). See Figure 3 for a concrete example.

Next, we set  $\hat{d} = t = 4$  with  $t$  being the regret score bound, and create auxiliary agents for each gadget as we show in Figure 1 and Figure 2.

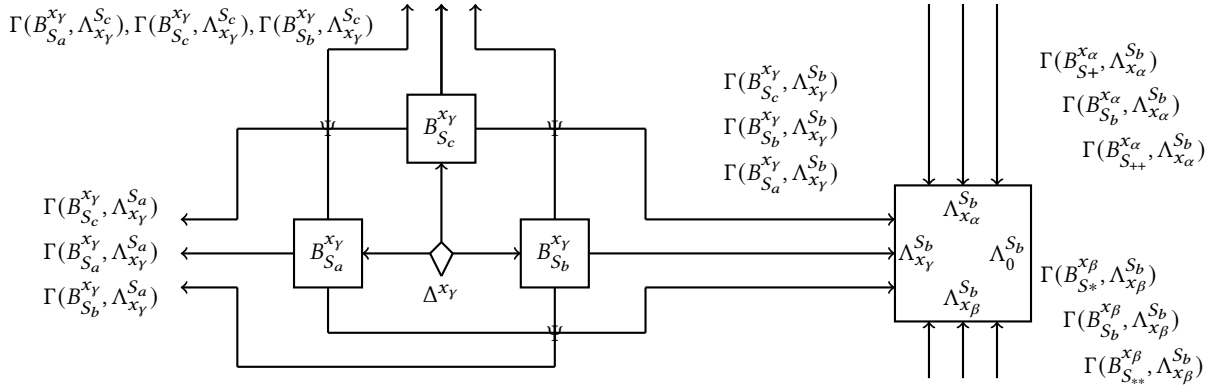
Finally, for each agent  $a$  created in the construction, we compute a preference list based on the distances between  $a$  and opposite gender agents.

### 3.2 The correctness proof

Before showing the equivalence of the instances, we prove several properties of the constructed instance. Here,  $M$  is the solution matching of  $I'$  with  $I'$  being the instance created as shown before.

LEMMA 3.2. *Given a chain  $\Gamma$ , the following hold. Here,  $1 \leq i \leq |\Gamma|$ , and assume that both  $\Gamma[1]$  and  $\Gamma[|\Gamma|]$  are Type-A.*

- (1) Each component  $\Gamma[i]$  can only be matched in two ways, that is
  - (a)  $\{(u_{2i-1}^\Gamma, w_{2i-1}^\Gamma), (u_{2i}^\Gamma, w_{2i}^\Gamma)\} \subseteq M$ , “Forward”-matching,
  - (b)  $\{(u_{2i-1}^\Gamma, w_{2i}^\Gamma), (u_{2i}^\Gamma, w_{2i-1}^\Gamma)\} \subseteq M$ , “Backward”-matching.
- (2) If  $\Gamma[i]$  is matched as “Forward”-matching, then  $\Gamma[i + 1]$  must be matched as “Forward”-matching. If  $\Gamma[i + 1]$  is matched as “Backward”-matching, then  $\Gamma[i]$  must be matched as “Backward”-matching.
- (3) Given a matching  $M$ , if there is a woman  $w_+$  with  $\sqrt{2} < \delta(w_+, u_1^\Gamma) \leq 2$  and  $\delta(w_+, M(w_+)) > \delta(w_+, u_1^\Gamma)$ , then  $\Gamma$  must be matched as “Forward”-matching. Here, we say  $\Gamma$  is matched



**Figure 3: Gadgets created for  $x_\gamma$  and  $S_b$  with  $x_\gamma \in S_a, S_b, S_c$  and  $S_b = \{\alpha, \beta, \gamma\}$ . Here,  $x_\alpha \in S_b, S_+, S_{++}$  and  $x_\beta \in S_b, S_*, S_{**}$ .**

as “Forward”-matching if all components of  $\Gamma$  are matched as “Forward”-matching. The “Backward”-matching of  $\Gamma$  is defined in a similar way.

- (4) Given a matching  $M$ , if there is a woman  $w_-$  with  $\sqrt{2} < \delta(w_-, u_{2|\Gamma|}^\Gamma) \leq 2$  and  $\delta(w_-, M(w_-)) > \delta(w_-, u_{2|\Gamma|}^\Gamma)$ , then  $\Gamma$  must be matched as “Backward”-matching.

**PROOF.** We first prove (1). Assume that  $\Gamma[i]$  is Type-A. Throughout the construction, there is no man  $u_*$  with  $\delta(u_*, w_{2i-1}^\Gamma) \leq \sqrt{2}$  even in crossover and turn gadgets. Thus,  $w_{2i-1}^\Gamma$  prefers  $u_{2i-1}^\Gamma$  and  $u_{2i}^\Gamma$  to other men. The distances between  $u_{2i-1}^\Gamma$  (or  $u_{2i}^\Gamma$ ) and all women except for  $w_{2i}^\Gamma$  are greater than  $\sqrt{2}$ , which implies that  $u_{2i-1}^\Gamma$  and  $u_{2i}^\Gamma$  prefer  $w_{2i-1}^\Gamma$  to other women. Thus,  $w_{2i-1}^\Gamma$  can only be matched to either  $u_{2i-1}^\Gamma$  or  $u_{2i}^\Gamma$ . The first  $d$  men in  $w_{2i}^\Gamma$  can only be members in  $\{u_{2i-1}^\Gamma, u_{2i}^\Gamma, u_{2j-1}^\Gamma\} \cup \{u|u \text{ is an auxiliary man}\}$  with  $\Gamma'$  being another chain and  $1 \leq j \leq |\Gamma'|$ . Thus, like  $w_{2i-1}^\Gamma$ ,  $w_{2i}^\Gamma$  can only be matched to either  $u_{2i-1}^\Gamma$  or  $u_{2i}^\Gamma$ . Considering  $M$  is a perfect matching, we have either  $\{(u_{2i-1}^\Gamma, w_{2i-1}^\Gamma), (u_{2i}^\Gamma, w_{2i}^\Gamma)\} \subseteq M$ , or  $\{(u_{2i-1}^\Gamma, w_{2i}^\Gamma), (u_{2i}^\Gamma, w_{2i-1}^\Gamma)\} \subseteq M$ .

For (2), if  $\{(u_{2i-1}^\Gamma, w_{2i-1}^\Gamma), (u_{2i}^\Gamma, w_{2i}^\Gamma)\} \subseteq M$ , then  $w_{2(i+1)-1}^\Gamma$  can only be matched to  $u_{2(i+1)-1}^\Gamma$  since  $\sqrt{2} < \delta(u_{2i}^\Gamma, w_{2(i+1)-1}^\Gamma) \leq 2 \leq 2 + \epsilon = \delta(w_{2(i+1)-1}^\Gamma, u_{2(i+1)}^\Gamma)$ . Here,  $\Gamma[i+1]$  is Type-B since we assume that  $\Gamma[i]$  is Type-A. The other case can be proved in a similar way. (3) and (4) can be seen as a simple extension of (2), and can be proved in a similar way.  $\square$

**LEMMA 3.3.** Given a selection gadget  $\Delta^{x_i}$  with  $x_i \in \mathcal{X}$  and  $x_i \in S_a, S_b, S_c$ , the following holds.

- (1)  $u_{S_a}^{\Delta^{x_i}}, u_{S_b}^{\Delta^{x_i}}, u_{S_c}^{\Delta^{x_i}}$  can only be matched to  $w_1^{\Delta^{x_i}}, w_2^{\Delta^{x_i}}, w_3^{\Delta^{x_i}}$ . Let  $S_j \in \{S_a, S_b, S_c\}$ , we say  $\Delta^{x_i}$  matched as “ $S_j$ -Yes”-matching if  $(u_{S_j}^{\Delta^{x_i}}, w_3^{\Delta^{x_i}}) \in M$ .
- (2) If  $\Delta^{x_i}$  is matched as “ $S_j$ -Yes”-matching, then  $\Gamma(\Delta^{x_i}, B_{S_j}^{x_i})$  must be matched as “Forward”-matching.

**PROOF.** Both  $w_1^{\Delta^{x_i}}$  and  $w_2^{\Delta^{x_i}}$  prefer  $u_{S_a}^{\Delta^{x_i}}, u_{S_b}^{\Delta^{x_i}}, u_{S_c}^{\Delta^{x_i}}$  to other men and vice versa. So  $w_1^{\Delta^{x_i}}, w_2^{\Delta^{x_i}}$  can only be matched to the three men. The first  $d$  men in the preference list of  $w_3^{\Delta^{x_i}}$  is  $u_{S_a}^{\Delta^{x_i}}, u_{S_b}^{\Delta^{x_i}}, u_{S_c}^{\Delta^{x_i}}$  and

$u_2^\Gamma$  with  $\Gamma = \Gamma(\Delta^{x_i}, B_{S_j}^{x_i})$ . The last man cannot be matched to  $w_3^{\Delta^{x_i}}$  by Lemma 3.2. Thus,  $w_3^{\Delta^{x_i}}$  can only be matched to  $u_{S_a}^{\Delta^{x_i}}, u_{S_b}^{\Delta^{x_i}}, u_{S_c}^{\Delta^{x_i}}$ . For (2), we have  $\delta(\Delta^{x_i}, B_{S_j}^{x_i}) = 2$ . Then  $\Gamma(\Delta^{x_i}, B_{S_j}^{x_i})$  must be matched as “Forward”-matching by Lemma 3.2.  $\square$

**LEMMA 3.4 (\*)**. Given a broadcast gadget  $B_{S_j}^{x_i}$  with  $x_i \in S_j, x_i \in \mathcal{X}$  and  $S_j \in \mathcal{S}$ , the following hold. Here, let  $B^* = B_{S_j}^{x_i}$ .

- (1)  $B^*$  can only be matched in two ways, either  $\{(u_k^{B^*}, w_k^{B^*}) | 1 \leq k \leq 9\} \subseteq M$ , or  $\{(u_k^{B^*}, w_{k-1}^{B^*}) | 1 \leq k \leq 9\} \subseteq M$  with  $w_0^{B^*} = w_9^{B^*}$ . We say the first matching is “Forward”-matching of  $B^*$  and the second is “Backward”-matching of  $B^*$ .
- (2) If the IN-chain of  $B^*$  is matched as “Forward”-matching, then  $B^*$  and the three OUT-chains must be matched as “Forward”-matchings.
- (3) If there is an OUT-chain of  $B^*$  matched as “Backward”-matching, then  $B^*$  and the IN-chain of  $B^*$  must be matched as “Backward”-matchings.

**LEMMA 3.5 (\*)**. Given a reception gadget  $\Lambda_{x_\gamma}^{S_j}$  with  $x_\gamma \in \mathcal{X}, S_j \in \mathcal{S}$  and  $S_j = (x_\alpha, x_\beta, x_\gamma)$ , the following holds. Here, we assume that  $\Lambda_{x_\gamma}^{S_j}$  is on the top side of the “ $S_j$ -square”, and  $\Lambda_{x_\alpha}^{S_j}, \Lambda_{x_\beta}^{S_j}$  are on the left and right side, respectively. We let  $\Lambda^* = \Lambda_{x_\gamma}^{S_j}, \Lambda^- = \Lambda_{x_\alpha}^{S_j}$ .

- (1)  $\Lambda^*$  can only be matched in two ways, either  $\{(u_k^{\Lambda^*}, w_k^{\Lambda^*}) | 1 \leq k \leq 7\} \subseteq M$ , or  $\{(u_k^{\Lambda^*}, w_{k-1}^{\Lambda^*}) | 1 \leq k \leq 7\} \subseteq M$  with  $w_0^{\Lambda^*} = w_7^{\Lambda^*}$ . We say the first matching is “Yes”-matching of  $\Lambda^*$  and the second is “No”-matching of  $\Lambda^*$ .
- (2) If the YES-chain of  $\Lambda^*$  is matched as “Forward”-matching, then  $\Lambda^*$  must be matched as “Yes”-matching, and the two NO-chains must be matched as “Backward”-matching.
- (3) If at least one NO-chain of  $\Lambda^*$  is matched as “Forward”, then  $\Lambda^*$  must be matched as “No”-matching, and the YES-chain must be matched as “Backward”-matching.
- (4) Reception gadgets of the four sides of  $S_j$ -square must be matched in the same way.

By Lemma 3.3, Lemma 3.4, and Lemma 3.5, we can get the following corollary.

COROLLARY 3.6. *Given a matching  $M$ , let  $x_Y \in \mathcal{X}$  be a member of  $S_a, S_b, S_c \in \mathcal{S}$ , and  $S_b = x_\alpha, x_\beta, x_Y$  with  $x_\alpha, x_\beta \in \mathcal{X}$ . The following hold.*

- (1) *If  $\Delta^{x_Y}$  is matched as “S<sub>b</sub>-Yes”-matching, then  $\Lambda_{x_Y}^{S_b}$  must be matched as “Yes”-matching and  $\Lambda_{x_Y}^{S_a}$ , and  $\Lambda_{x_Y}^{S_c}$  must be matched as “No”-matchings.*
- (2) *If  $\Lambda_{x_Y}^{S_b}$  is matched as “Yes”-matching, then  $\Delta^{x_\alpha}, \Delta^{x_\beta}$  and  $\Delta^{x_Y}$  must be matched as “S<sub>b</sub>-Yes”-matchings. If  $\Lambda_{x_Y}^{S_b}$  is matched as “No”-matching, then none of  $\Delta^{x_\alpha}, \Delta^{x_\beta}, \Delta^{x_Y}$  is matched as “S<sub>b</sub>-Yes”-matching.*

LEMMA 3.7 (\*). *Given a crossover gadget formed by  $\Gamma_1[i], \Gamma_1[i+1], \Gamma_2[j],$  and  $\Gamma_2[j+1]$  with  $\Gamma_1$  and  $\Gamma_2$  being two chains. We have either  $\Gamma_1$  is matched as “Forward”-matching and  $\Gamma_2$  is matched as “Backward”-matching, or  $\Gamma_1$  is matched as “Backward”-matching and  $\Gamma_2$  is matched as “Forward”-matching.*

Now, we have all tools to prove the equivalence of the instance. “ $\Rightarrow$ ”: Given a solution of  $I = (\mathcal{X}, \mathcal{S})$  of PC-X3C, we can construct a matching of  $I' = (U, W, L, \ell)$  as follows. For each  $S_j \in \mathcal{K}$  with  $S_j = \{x_\alpha, x_\beta, x_Y\}$ , we let

- (1)  $\Lambda_{x_\alpha}^{S_j}, \Lambda_{x_\beta}^{S_j}, \Lambda_{x_Y}^{S_j}, \Lambda_0^{S_j}$  match as “Yes”-matchings,
- (2)  $\Delta^{x_\alpha}, \Delta^{x_\beta}, \Delta^{x_Y}$  match as “S<sub>j</sub>-Yes”-matching.

For each  $x_i \in S_j, S_k, S_\ell$  with  $\Delta^{x_i}$  matched as “S<sub>j</sub>-Yes”-matching, we let

- (1)  $B_{S_j}^{x_i}$  match as “Forward”-matching.
- (2)  $B_{S_k}^{x_i}, B_{S_\ell}^{x_i}$  match as “Backward”-matchings
- (3)  $\Gamma(u_{S_j}^{x_i}, B_{S_j}^{x_i}), \Gamma(B_{S_j}^{x_i}, \Lambda_{x_i}^{S_j}), \Gamma(B_{S_k}^{x_i}, \Lambda_{x_i}^{S_k}), \Gamma(B_{S_\ell}^{x_i}, \Lambda_{x_i}^{S_\ell})$  match as “Forward”-matchings.
- (4)  $\Gamma(u_{S_k}^{x_i}, B_{S_k}^{x_i}), \Gamma(B_{S_k}^{x_i}, \Lambda_{x_i}^{S_j}), \Gamma(B_{S_k}^{x_i}, \Lambda_{x_i}^{S_k}),$  match as “Backward”-matchings.
- (5)  $\Gamma(u_{S_\ell}^{x_i}, B_{S_\ell}^{x_i}), \Gamma(B_{S_\ell}^{x_i}, \Lambda_{x_i}^{S_j}), \Gamma(B_{S_\ell}^{x_i}, \Lambda_{x_i}^{S_\ell}),$  match as “Backward”-matchings.
- (6)  $\Gamma(B_{S_k}^{x_i}, \Lambda_{x_i}^{S_\ell})$  match as “Forward”-matching and  $\Gamma(B_{S_\ell}^{x_i}, \Lambda_{x_i}^{S_k})$  match as “Backward”-matching.

Note that  $\Gamma(B_{S_k}^{x_i}, \Lambda_{x_i}^{S_\ell})$  and  $\Gamma(B_{S_\ell}^{x_i}, \Lambda_{x_i}^{S_k})$  cross each other, so we let one of them be “Forward”-matching and let the other be “Backward”-matching. Obviously, the constructed matching is stable by Lemma 3.2 to Lemma 3.5, Corollary 3.6 and Lemma 3.7.

“ $\Leftarrow$ ”: Given a matching  $M$ , construct a  $\mathcal{K}$  as follows. For each  $x_i \in \mathcal{X}$  with  $x_i \in S_a, S_b, S_c$ , we let  $S_j$  be a member of  $\mathcal{K}$  if  $\Lambda_{x_i}^{S_j}$  is matched as “Yes”-matching. We claim that only one of  $\Lambda_{x_i}^{S_a}, \Lambda_{x_i}^{S_b}, \Lambda_{x_i}^{S_c}$  is matched as “Yes”-matching. Suppose there are two of  $\Lambda_{x_i}^{S_a}, \Lambda_{x_i}^{S_b}, \Lambda_{x_i}^{S_c}$  matched as “Yes”-matching, for instance, both  $\Lambda_{x_i}^{S_a}, \Lambda_{x_i}^{S_b}$  are matched as “Yes”-matching. Then both  $\Gamma(B_{S_a}^{x_i}, \Lambda_{x_i}^{S_a})$  and  $\Gamma(B_{S_b}^{x_i}, \Lambda_{x_i}^{S_b})$  are matched as “Forward”-matchings. Then, an agent in  $\Gamma(B_{S_b}^{x_i}, \Lambda_{x_i}^{S_a})$  will form a blocking pair with an agent in  $\Lambda_{x_i}^{S_a}$ , and  $M$  is not a stable matching. Suppose none of  $\Lambda_{x_i}^{S_a}, \Lambda_{x_i}^{S_b}, \Lambda_{x_i}^{S_c}$  is matched as “Yes”-matching, then we have  $B_{S_a}^{x_i}, B_{S_b}^{x_i}, B_{S_c}^{x_i}$  are matched as “Backward”-matching. Thus, at least one blocking pair is formed by them with  $\Delta^{x_i}$  by Lemma 3.3, which implies that  $M$  is not stable.  $\square$

## 4 RESULTS IN 1-EUCLIDEAN SPACE

In this section, we study 1-Euclid- $\pi$ -SMT, that is, that agents in  $U \cup W$  are embedded into a line. Without loss of generality, we assume that the line is horizontal. Under this setting, 1-Euclid-Reg-SMT and 1-Euclid-Forced-SMT can be solved in polynomial time. If preference lists are incomplete, 1-Euclid- $\pi$ -SMT is NP-hard for all  $\pi \in \{\text{Reg, Egal, Forced, Max}\}$ .

### 4.1 1-Euclid-Reg-SMT

We introduce some notations that will be used in the algorithms. **Free-agent and reselecting-agent.** Given a line which  $U$  and  $W$  are embedded into, a man  $u_0 \in U$  and two women  $w_1, w_2$  with  $w_1$  is on the left side of  $u_0$  and  $w_2$  is on the right side of  $u_0$ , and a stable matching  $M_1 \subseteq U \times W$  with  $\{u_0, w_1\} \in M_1$ , we say  $u_0$  is a *free-man* between  $w_1$  and  $w_2$  in  $M_1$  if  $\delta(u_0, w_1) = \delta(u_0, w_2)$  and  $\delta(u_0, w_2) < \delta(M_1(w_2), w_2)$ . We can define *free-woman* in a similar way, and we call both free-men and free-women as *free-agents*. Given  $U, W$ , a stable matching  $M_1$ , a free-man  $u_0 \in U$  between two different women  $w_1, w_2 \in W$  in  $M_1$ , the following hold.

LEMMA 4.1. *If  $(u_0, w_1) \in M_1$ , then there exists another stable matching  $M_2$  with  $(u_0, w_2) \in M_2$ .*

PROOF. Since  $\delta(u_0, w_2) < \delta(M_1(w_2), w_2)$ ,  $w_2$  must prefer  $u_0$  to  $M_1(w_2)$ .  $(u_0, w_1)$  is not a blocking pair since  $\delta(u_0, w_1) = \delta(u_0, w_2)$ . We can construct a matching  $M_2$  as follows. Let  $u_2 = M_1(w_2)$ . First, let  $M_2 = M_1 \setminus \{(u_0, w_1), (u_2, w_2)\} \cup \{(u_0, w_2), (u_2, w_1)\}$ . Then, we check whether there is a man  $u_3$  with  $w_3 = M_2(u_3)$ , such that there is no man  $u_4$  with  $w_4 = M_2(u_4)$  with

- (1)  $\delta(u_3, w_1) < \delta(u_2, w_1)$ ,
- (2)  $\delta(u_3, w_1) < \delta(u_3, w_3)$ ,
- (3)  $\delta(u_4, w_1) < \delta(u_4, w_4)$ ,
- (4)  $\delta(u_4, w_1) < \delta(u_3, w_1)$ .

If so, let  $M_2 = M_2 \setminus \{(u_3, w_3), (u_2, w_1)\} \cup \{(u_3, w_1), (u_2, w_3)\}$ . Repeat until there is no man satisfying the requirement. Then, we do a similar process to  $u_2$ , that is, finding the closest woman who prefers  $u_2$  to her partner, matching them together, and repeat this process until no woman satisfies the requirement. Thus, we can claim that  $M_2$  is stable, since there is no pair of agents who prefer each other to their partners matched by  $M_2$ .  $\square$

We say  $u_0$  reselects his partner from  $M_1$  to  $M_2$ , and say  $u_0$  is a *reselecting-agent*.

**Vanish-set and newborn-set.** Let  $M_{\text{old}} = M_1 \setminus (M_1 \cap M_2)$  and  $M_{\text{new}} = M_2 \setminus (M_1 \cap M_2)$ , we have

- $|M_{\text{old}}| = |M_{\text{new}}|$ .
- $U_{\text{old}} = U_{\text{new}}$  and  $W_{\text{old}} = W_{\text{new}}$ .

Here,  $U_{\text{old}} = \{u_i | u_i \in U, w_j \in W, (u_i, w_j) \in M_{\text{old}}\}$ . The other three sets can be defined in a similar way. We say  $M_{\text{old}}$  is the *vanish-set* caused by  $u_0$ , and say  $M_{\text{new}}$  is a *newborn-set* caused by  $u_0$ .

**Rematch-chain.** Let  $(u_{\text{end}}, w_{\text{end}})$  be the farthest pair in  $M_{\text{old}} \cup M_{\text{new}}$ , that is,  $\delta(u_{\text{end}}, w_{\text{end}}) > \delta(u_i, w_j)$  with  $(u_i, w_j) \in M_{\text{old}} \cup M_{\text{new}} \setminus \{u_{\text{end}}, w_{\text{end}}\}$ . We create a  $(|U_{\text{new}}| + |W_{\text{new}}|)$ -size sorted set of agents, denoted as  $C$ , and set it as follows. First, let  $w_{\text{end}}$  be  $C[1]$  with  $C[i]$  being the  $i$ -th agent in  $C$ . Then, we construct  $C[2]$  to  $C[n]$  in two ways. If  $\{u_{\text{end}}, w_{\text{end}}\} \in M_{\text{old}}$ , let  $M_2(w_{\text{end}})$  be  $C[2]$  and  $M_1(M_2(w_{\text{end}}))$ , denoted as  $w'$ , be  $C[3]$ . Then let  $M_2(w')$  be

$C[4]$  and  $M_1(M_2(w'))$  be  $C[5]$ , and so on. If  $\{u_{\text{end}}, w_{\text{end}}\} \in M_{\text{new}}$ , let  $M_1(w_{\text{end}})$  be  $C[2]$  and  $M_2(M_1(w_{\text{end}}))$ , denoted as  $w'$ , be  $C[3]$ . Then let  $M_1(w')$  be  $C[4]$  and  $M_2(M_1(w'))$  be  $C[5]$ , and so on. We say  $C$  is a *rematch-chain* caused by  $u_0$ . Assume that  $u_0$  is  $C[i]$ . Recall that  $u_0$  is a free-agent and  $w_1, w_2$  are the two partners that  $u_0$  can reselect. We can get the following observation based on the definition of  $C$ , that is,  $C[j]$  prefers  $C[j+1]$  to  $C[j-1]$  if  $j < i$ , named the “men-happy” side of  $C$ , and  $C[j]$  prefers  $C[j-1]$  to  $C[j+1]$  if  $j > i$ , named the “women-happy” side  $C$ .

Given an agent  $a \in U \cup W$  and a pair  $(u, w)$  with  $u \in U, w \in W$ , we say  $a$  is between  $(u, w)$  if  $a$  is between  $u$  and  $w$ . We say a pair  $(u_{\text{end}}, w_{\text{end}})$  is the farthest pair of a set  $P$  if  $\delta(u_{\text{end}}, w_{\text{end}}) > \delta(u_i, w_j)$  with  $(u_i, w_j) \in P \setminus \{u_{\text{end}}, w_{\text{end}}\}$ . Given two stable matchings  $M_1, M_2$  with  $M_2$  being the resulting matching after a free-agent  $a_0 \in U \cup W$  reselects his/her partner from  $M_1$ , let  $M_{\text{old}}, M_{\text{new}}$  be the vanish- and newborn-sets of  $a_0$ , and  $C$  be the rematch-chain caused by  $a_0$ , and  $a_0$  is between a pair  $(u_{\text{arc}}, w_{\text{arc}}) \in M_1$ .

LEMMA 4.2. *Let  $(u_{\text{end}}, w_{\text{end}})$  be the farthest pair in  $M_{\text{old}} \cup M_{\text{new}}$ . Let  $a_{\text{in}} \in U \cup W$  be an agent who is between  $(u_{\text{end}}, w_{\text{end}})$  with  $a_{\text{in}} \notin (U_{\text{old}} \cup W_{\text{old}})$ , and  $a_{\text{out}} \in U \cup W$  be an agent who is not between  $(u_{\text{arc}}, w_{\text{arc}}) \in M_1$ , the following holds*

- (1) Either  $(u_{\text{end}}, w_{\text{end}}) \in M_1$ , or  $(u_{\text{end}}, w_{\text{end}}) \in M_2$ .
- (2) If  $C[i]$  is on the left side of  $C[i+1]$ , then  $C[i-1]$  is on the left side of  $C[i]$ .
- (3)  $a_0$  is between  $u_{\text{end}}$  and  $w_{\text{end}}$ .
- (4)  $\{a_{\text{out}}\} \cap (U_{\text{old}} \cup W_{\text{old}}) = \emptyset$ .

PROOF. (1) is true since  $M_{\text{old}} \cap M_{\text{new}} = \emptyset$ . For (2), if  $C[i]$  is on the left side of  $a_0$ , we have  $C[i]$  prefers  $C[i+1]$  to  $C[i-1]$ . if  $C[i-1]$  is between  $C[i]$  and  $C[i+1]$ , then  $C[i]$  must prefer  $C[i-1]$  to  $C[i+1]$ , a contradiction. If  $C[i]$  is on the right side of  $a_0$ , we have  $C[i]$  prefers  $C[i-1]$  to  $C[i+1]$ , and  $C[i-1]$  prefers  $C[i-2]$  to  $C[i]$ , and so on. If  $C[i-1]$  is between  $C[i]$  and  $C[i+1]$ , then  $C[i-2]$  must be between  $C[i-1]$  and  $C[i+1]$ , then  $C[i-3]$  must be between  $C[i-2]$  and  $C[i+1]$ , that is, each  $C[j-1]$  must be between  $C[j]$  and  $C[i+1]$  with  $j < i$ , which implies that  $u_0$  must be in the right side of  $C[i]$ , a contradiction. In the definition, we always let  $w_{\text{end}}$  be  $C[1]$  and  $u_{\text{end}}$  be  $C[n]$ , thus, (3) is true by (2). For (4), assume that  $a_{\text{out}}$  is in the woman happy side. If  $\{a_{\text{out}}\} \cap (U_{\text{old}} \cup W_{\text{old}})$ ,  $a_{\text{out}}$  must have an index greater than  $u_{\text{end}}$  in  $C$  by (2), which implies that  $(u_{\text{end}}, w_{\text{end}})$  is not the farthest pair.  $\square$

Given a line which  $U, W$  are embedded into, we can safely match and remove a man-woman pair if the distance of them is less than all other pairs. We call the process, that matching and removing the closet man-woman pair repeatedly until no such pair exists, as *Update*. We say a man  $u_0 \in W$  between  $w_1, w_2 \in W$  is a *chosen-man* if  $\delta(u_0, w_1) = \delta(u_0, w_2)$  and  $\delta(u_0, w_1)$  is less than all distances of other man-woman pairs. We can define *chosen-woman* in a similar way. Let  $U^L$  be the men on the left side of  $u_0$ , and let  $U_1^L \subseteq U^L$  be the resulting set of  $U^L$  after removing  $u_0, w_1$  and updating, let  $U_2^L \subseteq U^L$  be the resulting set of  $U^L$  after removing  $u_0, w_2$  and updating. Define  $W^L, W_1^L$ , and  $W_2^L$  analogously. If  $||U_1^L| - |W_1^L|| \leq ||U_2^L| - |W_2^L||$ , we say  $w_1$  is the *better-partner* of  $u_0$ ; otherwise, we say  $w_2$  is the *better-partner* of  $u_0$ .

THEOREM 4.3. *1-Euclid-Reg-SMT can be solved in polynomial time when preference profile is complete.*

PROOF. Given an instance  $I$  of 1-Euclid-Reg-SMT with an input line that  $U$  and  $W$  are embedded into, let  $M = \emptyset$ . We do the following process repeatedly until  $|M| = |U|$ :

- (1) Update the line and set  $M = M \cup M_{\text{update}}$  with  $M_{\text{update}}$  being the pairs matched through the update process.
- (2) Find a chosen-agent and match him/her to the better-partner, denoted as  $(u_c, w_c)$ . Set  $M = M \cup (u_c, w_c)$  and remove  $u_c$  and  $w_c$  from the line.

The correctness of the algorithm follows from Lemma 4.1 and Lemma 4.2. Let  $(u_c^i, w_c^i)$  be the  $i$ -th chosen-pair added to  $M$ . Here, we say  $(u_c^i, w_c^i)$  is a chosen-pair if one of  $u_c^1$  and  $w_c^1$  is a chosen-agent. Given a stable matching  $M_0$  with  $(u_c^1, w_c^1) \notin M_0$ , there must be another matching  $M_1$  with  $(u_c^1, w_c^1) \in M_1$  by Lemma 4.1, since the chosen-agent is obviously a free-agent of  $M_0$ . Since the chosen-agent, i.e.,  $u_c^1$ , does not match with his better-partner, we have the men on the left side of  $u_c^1$ , denoted as  $U^L$ , are not equal to the women on the left side of  $u_c^1$ , denoted as  $U^R$ . Thus, at least one agent  $a_{\text{arc}} \in U^L \cup U^R$  must be matched to an agent  $b_{\text{arc}}$  who is on the right side of  $u_c^1$ . By Lemma 4.2, all agents in the rematch-chain are between  $a_{\text{arc}}$  and  $b_{\text{arc}}$ . Thus,  $M_2$  is a solution matching of  $I$  if  $M_1$  is a solution of  $I$ , since  $(a_{\text{arc}}, b_{\text{arc}}) \in M_1$ . Similarly, there must be another matching  $M_2$  with  $(u_c^2, w_c^2) \in M_2$ , if  $(u_c^2, w_c^2) \notin M_1$ . Thus,  $M$  is a solution matching of  $I$ .  $\square$

## 4.2 1-Euclid-Forced-SMT

Let  $M_1, M_2, M_3$  be three stable matchings with  $M_2$  (or  $M_3$ ) being the resulting matching after a free-agent  $a_1$  (or  $a_2$ )  $\in U \cup W$  reselects his/her partner from  $M_1$  (or  $M_2$ ). Given a pair  $\{u_{\text{arc}}, w_{\text{arc}}\} \in M_1$  with  $a_1, a_2$  being between  $u_{\text{arc}}$  and  $w_{\text{arc}}$ , the following holds.

LEMMA 4.4. *Given an agent  $a_{\text{out}}$  who is not between  $u_{\text{arc}}$  and  $w_{\text{arc}}$ , we have  $a_{\text{out}}$  is neither a member of  $C_1$  nor a member of  $C_2$ , where  $C_1$  and  $C_2$  are rematch-chains caused by  $a_1$  and  $a_2$ , respectively.*

PROOF.  $a_{\text{out}}$  is not a member of  $C_1$  by Lemma 4.2.(4).

If  $(u_{\text{arc}}, w_{\text{arc}}) \in M_2$ , then  $a \notin C_2$  by Lemma 4.2.(4). If  $(u_{\text{arc}}, w_{\text{arc}}) \in M_2$ , then there is an index  $i$  with  $1 < i < |C_1|$ , such that  $a_2$  is between  $C_1[i]$  and  $C_1[i+1]$ . If  $(C_1[i], C_1[i+1]) \in M_2$ , then  $a_{\text{out}}$  is not a member of  $C_2$  since  $(u_{\text{arc}}, w_{\text{arc}}) \in M_2$ . If  $(C_1[i], C_1[i+1]) \in M_1$ , then  $a_2$  is also a free-agent in  $M_1$ . Thus, let  $a_2$  reselect his/her partner in  $M_1$  and get a resulting matching  $M'_2$ . We have  $a_1$  is still a free-agent in  $M'_2$ , and  $(u_{\text{arc}}, w_{\text{arc}}) \in M'_2$ . Then let  $a_1$  reselect his/her partner in  $M'_2$  and denote the resulting matching as  $M'_3$ . Obviously,  $M_3 = M'_3$ . Let  $C'_1$  denote the rematch-chain caused by  $a_1$  from  $M'_2$  to  $M'_3$ . Then,  $a_{\text{out}}$  is not a member of  $C'_1$ , since  $a_1$  is between  $(u_{\text{arc}}, w_{\text{arc}}) \in M'_2$ .  $\square$

LEMMA 4.5. *Given a matching  $M$ , let  $(u_1, w_1), (u_2, w_2)$  be two pairs matched in  $M$ . If one of  $u_2, w_2$  is between  $\{u_1, w_1\}$  and the other is not, then  $M$  is not a stable matching.*

PROOF. Assume that  $u_2$  is between  $u_1, w_1$  and  $w_1$  is not. If the order of the four agents from left to right is  $u_1, u_2, w_1, w_2$ , then  $u_2$  and  $w_1$  form a blocking pair. If the order is  $w_2, u_1, u_2, w_1$ , then  $u_1$  and  $w_2$  form a blocking pair.  $\square$

Let  $M_1, M_2, M_3$  be three stable matchings with  $M_2$  (or  $M_3$ ) being the resulting matching after a free-agent  $a_1$  (or  $a_2$ )  $\in U \cup W$  reselecting his/her partner from  $M_1$  (or  $M_2$ ). In addition, for each pair  $(u, w) \in M_1$ , either both  $a_1, a_2$  are between  $u, w$ , or neither is between  $u, w$ . Given a pair  $(u_{\text{arc}}, w_{\text{arc}}) \in M_1$  with  $a_1, a_2$  not between  $u_{\text{arc}}$  and  $w_{\text{arc}}$ , the following holds.

**LEMMA 4.6.** *Given an agent  $a_{\text{in}}$  who is between  $u_{\text{arc}}$  and  $w_{\text{arc}}$ , we have  $a_{\text{in}}$  is neither a member of  $C_1$  nor  $C_2$ , where  $C_1$  and  $C_2$  are rematch-chains caused by  $a_1$  and  $a_2$ , respectively.*

**PROOF.** First, we claim that  $a_{\text{in}} \notin C_1$ . If it is not true,  $M_2$  is not a stable matching by Lemma 4.5. If  $u_{\text{arc}}, w_{\text{arc}} \notin C_1$ , then  $a_{\text{in}} \notin C_2$  can be proved in a similar way as above. If  $u_{\text{arc}}, w_{\text{arc}} \in C_1$ , we have two cases. Let  $(u_{\text{far}}, w_{\text{far}})$  be the farthest pair in  $M_{\text{new}}^1 \cup M_{\text{old}}^1$ , with  $M_{\text{new}}^1, M_{\text{old}}^1$  being the newborn- and vanish-sets caused by  $a_1$ , respectively. Obviously,  $a_{\text{in}}$  is between  $u_{\text{far}}$  and  $w_{\text{far}}$ . If  $a_2$  is not between  $u_{\text{far}}$  and  $w_{\text{far}}$ , then  $a_{\text{in}} \notin C_2$  can be proved as above. If  $a_2$  is between  $u_{\text{far}}$  and  $w_{\text{far}}$ , then  $a_2$  is between a pair  $(u, w) \in M_2$ , while  $a_{\text{in}}$  is not. We can prove  $a_{\text{in}} \notin C_2$  by Lemma 4.4.  $\square$

Before showing the algorithm, we give a formal definition of *cross-score*, which is used in the algorithm.

**Cross-score.** Given a matching  $M$  and a pair  $(u, w) \in W$ , the *cross-score* of  $(u, w)$ , denoted as  $\xi(u, w)$ , is equal to  $|A_{(u, w)}|$ , where  $A_{(u, w)}$  is the set of agents who are between  $u$  and  $w$  (and  $u, w \in A_{(u, w)}$ ). The cross-score of  $M$ , denoted as  $\xi(M)$ , is set as  $\xi(M) = \sum_{(u, w) \in M} \xi(u, w)$ . Let  $M_1, M_2$  be two stable matchings with  $M_2$  being the resulting matching after a free-agent  $a_0 \in U \cup W$  reselecting his/her partner. Let  $(u_{\text{end}}, w_{\text{end}})$  be the farthest pair in  $M_{\text{old}} \cup M_{\text{new}}$  with  $M_{\text{old}}$  and  $M_{\text{new}}$  being the vanish- and newborn-sets caused by  $a_0$ , respectively. The following hold.

**LEMMA 4.7.** (1) If  $(u_{\text{end}}, w_{\text{end}}) \in M_1$ , then  $\xi(M_1) > \xi(M_2)$ . (2) If  $(u_{\text{end}}, w_{\text{end}}) \in M_2$ , then  $\xi(M_1) < \xi(M_2)$ .

**PROOF.** By the definition of cross-score, we have  $\xi(M_2) = \xi(M_1 \cup M_{\text{new}} \setminus M_{\text{old}})$ . Thus, if  $\xi(M_{\text{new}}) > \xi(M_{\text{old}})$ , then  $\xi(M_2) > \xi(M_1)$ . If  $(u_{\text{end}}, w_{\text{end}}) \in M_1$ , then  $\xi((u_{\text{end}}, w_{\text{end}})) > \sum_{(u, w) \in M_{\text{new}}} \xi(u, w)$ , since there are no two pairs  $(u_1, w_1), (u_2, w_2) \in M_{\text{old}}$ , such that  $u_1, w_1$  are between  $u_2$  and  $w_2$ . Similarly, If  $(u_{\text{end}}, w_{\text{end}}) \in M_2$ , then  $\xi((u_{\text{end}}, w_{\text{end}})) > \sum_{(u, w) \in M_{\text{old}}} \xi(u, w)$ .  $\square$

Let  $I$  be an instance of 1-Euclid-Forced-SMT and  $M$  be a stable matching of  $I$ . Given two agents  $a_L, b_R \in U \cup W$ , let  $A_{(a_L, b_R)}$  denote the set of agents between  $a_L$  and  $b_R$  (and  $a_L, b_R \in A_{(a_L, b_R)}$ ). We say  $A_{(a_L, b_R)}$  has a closed-matching if  $M(a_i) \in A_{(a_L, b_R)}$  for all  $a_i \in A_{(a_L, b_R)}$ . Denote the matching of  $A_{(a_L, b_R)}$  in  $M$  as  $M_{(a_L, b_R)}$ , and let  $M'_{(a, b)}$  be the closed-matching of  $A_{(a_L, b_R)}$ , which is stable and has the minimum cross-score among all closed-matchings of  $A_{(a_L, b_R)}$ . Let  $M' = M \cup M'_{(a, b)} \setminus M_{(a, b)}$ , the following holds.

**LEMMA 4.8 (\*)**.  $M'$  is a stable matching of  $I$ .

**THEOREM 4.9.** 1-Euclid-Forced-SMT can be solved in polynomial time, if preference profile is complete.

**PROOF.** Given a line that  $U$  and  $W$  are embedded into,  $A_{i, j} \subseteq U \cup W$  consists of agents between  $a_i$  and  $a_j$  (including  $a_i$  and  $a_j$ ) with  $a_i, a_j$  being the  $i$ -th and  $j$ -th agents. The basic idea of the

algorithm is, given a subset  $A_{i, j}$ , we can always divide it into three disjoint parts, that is,  $\{a_i, a_k\}, A_{i+1, k-1}$  and  $A_{k+1, j}$ . By enumerating all possible  $k$ , we can get the optimal matching of  $A_{i, j}$ , that is, the closed-matching with the minimum cross-score on  $A_{i, j}$ , as long as we have all optimal matchings of  $A_{i+1, k-1}$  and  $A_{k+1, j}$  with  $i < k < j$ . Thus, we can use a dynamic programming to calculate the optimal matching of  $A_{i, j}$  from  $j - i = 1$  to  $j - i = 2n - 1$ . Let  $M[i, j]$  be the optimal matching of  $A_{i, j}$ , that is,  $M[i, j]$  has the minimum cross-score among all feasible-matchings of  $A_{i, j}$ . Here, a matching  $M$  is a feasible-matching if and only if  $M$  is stable and does not break any pair in  $F$ . Here, a matching  $M$  breaks a forced pair  $(u, w) \in F$ , if  $u$  is matched by  $M$  but  $M(u) \neq w$ . Let  $D(i, j)$  be the cross-score of  $M[i, j]$ .

**Initialization.** We initialize  $M[i, i + 1], D[i, i + 1]$  as follows.

$$M[i, i + 1] = \{a_i, a_{i+1}\}$$

$$D[i, i + 1] = \xi(a_i, a_{i+1})$$

**Recursive formulas.** Then we calculate  $D[i, j]$  from  $j - i + 1 = 4$  to  $j - i + 1 = 2n$ .

$$D[i, j] = \min_{k \in (i, j)} E[i, j, k]$$

Here,  $E[i, j, k] = \xi(a_i, a_k) + D[i + 1, k - 1] + D[k + 1, j]$  if  $\{a_i, a_k\} \cup M[i + 1, k - 1] \cup M[k + 1, j]$  is a feasible matching; otherwise,  $E[i, j, k] = +\infty$ . If  $D[i, j] \neq +\infty$ , we let  $M[i, j]$  be the corresponding optimal matching. This process can be done in  $O(n^2)$  time. If  $D[i, j] \neq +\infty$ , then the solution matching of  $I$  is  $M[i, j]$  by Lemma 4.8.  $\square$

### 4.3 Other Results

**THEOREM 4.10 (\*)**. *If preference lists are incomplete,  $d$ -Euclid- $\pi$ -SMT is NP-hard even with  $d = 1$ . Here,  $\pi \in \{\text{Max, Reg, Egal, Forced}\}$ .*

**THEOREM 4.11 (\*)**. *Given a preference profile  $L$ , it is polynomial-time decidable whether  $L$  can be embedded into a line.*

## 5 CONCLUSION

In this paper, we study  $\pi$ -STABLE MARRIAGE WITH TIES ( $\pi$ -SMT) in the  $d$ -Euclidean space. If the preference profile is complete,  $\pi$ -SMT with  $\pi \in \{\text{Reg, Egal, Forced}\}$  is NP-hard even with  $d = 2$ . With  $d = 1$ , there exist polynomial-time algorithms solving Reg-SMT and Forced-SMT. If the preference profile is incomplete,  $\pi$ -SMTI with  $\pi \in \{\text{Reg, Egal, Forced, MAX}\}$  is NP-hard even with  $d = 1$ . In Table 1, we left an open problem, that is, can 1-Euclidean-Egal-SMT be solved in polynomial time? For the future work, (1) it might be interesting to check other variants of STABLE MARRIAGE in  $d$ -Euclidean space, such as BALANCED STABLE MARRIAGE [18], SEX-EQUAL STABLE MARRIAGE [25], MAN-EXCHANGE STABLE MARRIAGE [30], etc. (2) Another possible direction is to combine  $d$ -Euclidean space with STABLE MARRIAGE with strict preference orders, such as PAIRWISE STABLE MARRIAGE [2, 4] and STABLE MATCHING WITH GENERAL PREFERENCES [17]. (3) Are there any fixed-parameter algorithms or approximation algorithms for the NP-hard cases? (4) The incompleteness of preference list has an alternative interpretation, that is, non-listed alternatives are further away than listed ones. It might be interesting to check how the results would be different when  $d = 1$ .



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